

Issuer holdings transfer request

Complete this form to transfer Issuer sponsored holdings to your Openmarkets trading account/HIN. Then scan/email, fax or post back using the details at the top along with a copies of your latest Issuer holding statements.

Important note: for your conversion to be successful, the registration details on your Issuer Sponsored statements must match exactly with your Openmarkets registration details, including joint names, middle name/s and address.

If your Issuer holding is registered differently (even by one character), you'll need to contact the relevant share registry or visit their website to amend the registration of that holding.

Please see instructions overleaf, as the process needed to complete this form may vary

Client account(s) details

ACCOUNT NUMBER	ACCOUNT NAME(S)
<input type="text"/>	<input type="text"/>
ACCOUNT DESIGNATION (IF ANY)	
<input type="text"/>	
REGISTERED ADDRESS	
<input type="text"/>	

Please attach all relevant copies of issuer sponsored holding statements.

ASX CODE	SECURITY NAME (E.G. BHP BILLITON LTD)	SHAREHOLDER REFERENCE NUMBER (SRN)	QUANTITY
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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Agreement & acknowledgement

I/We authorise Openmarkets to transfer all the above listed Issuer sponsored holdings to my/our Openmarkets HIN.

All persons authorised to operate the account must sign.

ACCOUNT HOLDER 1		
GIVEN NAME(S) / FAMILY NAME	SIGNATURE	DATE
<input type="text"/>	<input type="text"/>	<input type="text"/>
ACCOUNT HOLDER 2		
GIVEN NAME(S) / FAMILY NAME	SIGNATURE	DATE
<input type="text"/>	<input type="text"/>	<input type="text"/>
ACCOUNT HOLDER 3		
GIVEN NAME(S) / FAMILY NAME	SIGNATURE	DATE
<input type="text"/>	<input type="text"/>	<input type="text"/>

Appendix 1 - Form completion checklist

To ensure the fastest possible processing time for your request, please check ensure:

- The registration details on your Openmarkets account, including name(s), account designation and address matches exactly with the details of each Issuer holding
- All account holders have signed
- For company accounts with two directors, either both directors have signed or one director and the company secretary
- Lines are drawn through any mistakes on the form and initialed by all signatories on the account. Correction fluid must not be used on this form.

If the registration name and address of the issuer holding does not exactly match the details of your Openmarkets account, you must amend it at the relevant share registry before you submit this request. Once amended, just forward on a copy of to Openmarkets along with a new Issuer Transfer Request Form.

Appendix 2 - Successful conversion instructions

YOUR ISSUER STATEMENT HAS:	OPENMARKETS ACCOUNT HAS:	SCENARIO:	WHAT YOU NEED TO DO:
Mr Dylan Grey 68 Princes Avenue Sydney NSW 2000	Mr Dylan Grey 68 Princes Avenue Sydney NSW 2000	A perfect match.	Nothing. The Issuer holding will be successfully transferred to your Openmarkets account/HIN.
Mr Dylan Grey + Mrs Sarah Grey 68 Princes Avenue Sydney NSW 2000	Mr Dylan Grey 68 Princes Avenue Sydney 2000	Issuer Holding shows two holders, Openmarkets does not.	Either open a separate Openmarkets account in the name of Mr Dylan Grey + Mrs Sarah Grey or complete an Off Market Transfer Request to transfer the holding from one account name to another. (This form can be found on our website.)
Mr Dylan Grey + Mrs Sarah Grey <Grey Super Fund A/C> 68 Princes Avenue Sydney NSW 2000	Mr Dylan Grey + Mrs Sarah Grey 68 Princes Avenue Sydney NSW 2000	Issuer holding is in the name of a Super Fund, Openmarkets is not.	Either open a separate Openmarkets account in the name of Mr Dylan Grey + Mrs Sarah Grey <Grey Super Fund A/C> or complete an Off Market Transfer Request to transfer the holding from one account name to another. (This form can be found on our website.)
Mr Dylan Francis Grey 68 Princes Avenue Sydney NSW 2000	Mr Dylan Grey 68 Princes Avenue Sydney NSW 2000	Issuer holding has a middle name, Openmarkets does not.	Contact the relevant Share Registry and complete a Name Correction Request and Indemnity Form. Your issuer holding must have the same name as your Openmarkets Account.
Mr Dylan F Grey 68 Princes Avenue Sydney NSW 2000	Mr Dylan Grey 68 Princes Avenue Sydney NSW 2000	Issuer holding has an initial, Openmarkets does not.	Contact the relevant Share Registry and complete a Name Correction Request and Indemnity Form. Your issuer holding must have the same name as your Openmarkets Account.
Mr Dylan Grey Unit 24 68 Princes Avenue Sydney NSW 2000	Mr Dylan Grey Apartment 24 68 Princes Avenue Sydney NSW 2000	Issuer holding has a different word in the address field.	Contact the relevant Share Registry and complete an Address Notification Form. Some will allow you to do this online. Your issuer holding must have the same address as your Openmarkets Account.
Mr Dylan Grey 12 Underwood Street Coogee NSW 2034	Mr Dylan Grey 68 Princes Avenue Sydney NSW 2000	Issuer holding has a different address to Openmarkets Account	Contact the relevant Share Registry and complete an Address Notification Form. Some will allow you to do this online. Your issuer holding must have the same address as your Openmarkets Account.