

FATCA supplementary form (Foreign Account Tax Compliance Act)

THIS FORM RELATES TO AN ACCOUNT APPLICATION IN THE NAME OF:

FATCA information for individuals

FULL NAME OF INDIVIDUAL

ARE YOU U.S. CITIZEN OR RESIDENT FOR TAX PURPOSES? YES NO

IF YES, WHAT IS YOUR TAXPAYER IDENTIFICATION NUMBER (TIN)

FATCA information for companies

FULL NAME OF COMPANY

COMPANY ACN/ABN

IS THE COMPANY ORGANISED OR INCORPORATED IN THE U.S. ? YES NO

IF YES, WHAT IS THE COMPANY'S EMPLOYER IDENTIFICATION NUMBER (EIN)

IS THE COMPANY A FINANCIAL INSTITUTION? YES NO

IF YES, WHAT IS THE COMPANY'S GLOBAL IDENTIFICATION NUMBER (GIN)

OR ANY OTHER FATCA STATUS OF THE COMPANY (IF ANY)

ARE ANY OF THE DIRECTORS OR BENEFICIAL OWNERS WHO OWN 25% OF CONTROLLING SHARES EITHER DIRECTLY OR INDIRECTLY) OF THE COMPANY U.S. CITIZENS OR RESIDENTS FOR TAX PURPOSES?

YES NO

IF YES, PLEASE PROVIDE DETAILS BELOW:

FULL NAME OF DIRECTOR

TAX IDENTIFICATION NUMBER

RESIDENTIAL ADDRESS

FULL NAME OF DIRECTOR

TAX IDENTIFICATION NUMBER

RESIDENTIAL ADDRESS

FULL NAME OF DIRECTOR

TAX IDENTIFICATION NUMBER

RESIDENTIAL ADDRESS

FULL NAME OF BENEFICIAL OWNER

TAX IDENTIFICATION NUMBER

RESIDENTIAL ADDRESS

FULL NAME OF BENEFICIAL OWNER

TAX IDENTIFICATION NUMBER

RESIDENTIAL ADDRESS

FULL NAME OF BENEFICIAL OWNER

TAX IDENTIFICATION NUMBER

RESIDENTIAL ADDRESS

Complete this question only if the company is a non-U.S. incorporated, non-financial company, but has any director or beneficial owner of the company who are U.S. citizens or residents of U.S. for tax purposes).

In the preceding calendar year, did the Company either:

- a. earn more than 50% of its gross income from investment sources deriving distributions, dividends, rental, interest or other investment income
- OR
- b. hold more than 50% of its assets in shares, properties, bonds or similar investment assets?
- Yes No

FATCA information for trusts

FULL NAME OF TRUST

TRUST ABN

IS THE TRUST A COMPLIANT SUPER-FUND? (INCLUDING SELF-MANAGED SUPER FUNDS, EMPLOYER SPONSORED FUNDS, INDUSTRY FUNDS AND PUBLIC FUNDS) YES NO

IS THE TRUST ORGANISED OR INCORPORATED IN THE U.S.? YES NO

IF YES, WHAT IS THE TRUST'S EMPLOYER IDENTIFICATION NUMBER (EIN)

IS THE TRUST A FINANCIAL INSTITUTION? YES NO

IF YES, WHAT IS THE TRUST'S GLOBAL IDENTIFICATION NUMBER (GIN)

OR ANY OTHER FATCA STATUS OF THE TRUST (IF ANY)

ARE ANY OF THE TRUSTEES, BENEFICIARIES, APPOINTERS OR SETTLERS OF THE TRUST U.S. CITIZENS OR RESIDENTS FOR TAX PURPOSES? YES NO

IF YES, PLEASE PROVIDE DETAILS BELOW:

FULL NAME OF INDIVIDUAL TRUSTEE

TAX IDENTIFICATION NUMBER

RESIDENTIAL ADDRESS

FULL NAME OF INDIVIDUAL TRUSTEE

TAX IDENTIFICATION NUMBER

RESIDENTIAL ADDRESS

FULL NAME OF BENEFICIARY

TAX IDENTIFICATION NUMBER

RESIDENTIAL ADDRESS

FULL NAME OF BENEFICIARY

TAX IDENTIFICATION NUMBER

RESIDENTIAL ADDRESS

FULL NAME OF SETTLOR

TAX IDENTIFICATION NUMBER

RESIDENTIAL ADDRESS

FULL NAME OF APPOINTER

TAX IDENTIFICATION NUMBER

RESIDENTIAL ADDRESS

Complete this question only if the company is a non-U.S. incorporated, non-financial company, but has any director or beneficial owner of the company who are U.S. citizens or residents of U.S. for tax purposes).

In the preceding calendar year, did the Company either:

- a. earn more than 50% of its gross income from investment sources deriving distributions, dividends, rental, interest or other investment income
- OR
- b. hold more than 50% of its assets in shares, properties, bonds or similar investment assets?
Yes No

Note: If the Trustee/Beneficiary is a Company, please also complete Section 2 for the company.

Thank you for completing your FATCA requirements